

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: KITAJIMA

Serial No.: 09/533,365

Filed: March 22, 2000

Group Art Unit: 2825

Examiner: EVERHART, Caridad

P.T.O. Confirmation No.: 9270

FOR: SEMICONDUCTOR DEVICE WITH GOLD BUMPS, AND METHOD AND

APPARATUS OF PRODUCING THE SAME

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents Washington, D.C. 20231

November 15, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the document listed on the attached Form PTO-1449. One copy of the document is attached along with an Office Action mailed September 17, 2002 for counterpart Japanese Patent Application No. 9-242459.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no information/contained in this statement was known to any individual designated in 37 CFR § 1.56(c) more than three months price to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may, in the first

instance, determine any materiality thereof to the claimed invention. It is respectfully requested that

the information be expressly considered during the prosecution of this application, and that the

references cited in the attached Form PTO-1449 be made of record therein and appear on the first

page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which

is deemed by the Patent and Trademark Office to be required to effect consideration of this

statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Stephen G. Adrian
Attorney for Applicants

Reg. No. 32,878

SGA/arf

Atty. Docket No. **980069B** Suite 1000, 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930 23850

PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; Office Action mailed 9/17/02 for

Japanese Patent Application No. 9-242459 and references (5)